

# Typed Protocol Pipelining

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<https://coot.me/presentations/typed-protocol-pipelining.pdf>



```
{-# LANGUAGE BangPatterns #-}  
{-# LANGUAGE DataKinds #-}  
{-# LANGUAGE EmptyCase #-}  
{-# LANGUAGE GADTs #-}  
{-# LANGUAGE PolyKinds #-}  
{-# LANGUAGE RankNTypes #-}  
{-# LANGUAGE ScopedTypeVariables #-}  
{-# LANGUAGE StandaloneDeriving #-}  
{-# LANGUAGE StandaloneKindSignatures #-}  
{-# LANGUAGE TypeFamilies #-}  
{-# LANGUAGE TypeOperators #-}
```

**module** *Presentation.TypedProtocolPipelining* **where**

**import** *Data.Kind* (*Type*)

**import** *Data.Singletons*

# Ping Pong Protocol

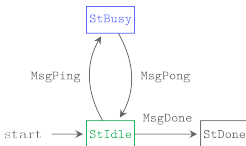


Figure: PingPong protocol state diagram

# Protocol pipelining

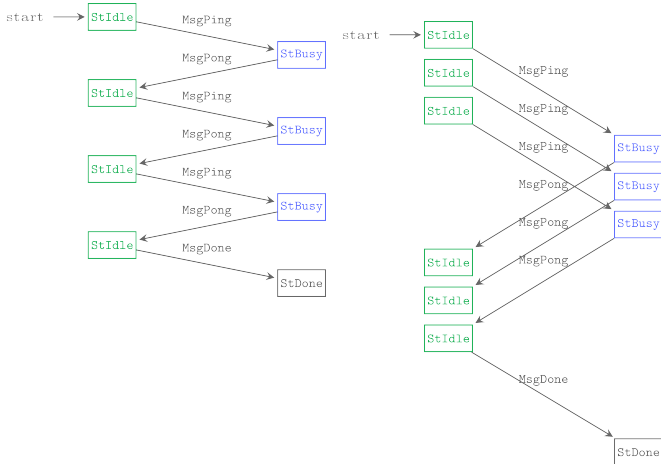


Figure: non-pipelined vs pipelined ping pong client

# Protocol pipelining

- **latency hiding**
- **network utilisation** *network is utilised best when constant pressure is applied to avoid shrinking of the tcp window (e.g. tcp flow control mechanism). Network utilisation is a balance between keeping the most constrained resource busy - but only just - too busy and delay increases and application responsiveness can drop.*
- pipelined transitions are no longer a continuous flow of matching transitions (i.e. composition of state transitions).
- pipelining must keep relative order of requests and response transitions, but can mix both groups.

## Towards non-pipelined protocol description

**data** *PingPong* **where**

*StIdle* :: *PingPong*

*StBusy* :: *PingPong*

*StDone* :: *PingPong*

**data** *MessageSimplePingPong* (*st*::*PingPong*) (*st'*::*PingPong*) **where**

*MsgSimplePing* :: *MessageSimplePingPong* *StIdle* *StBusy*

*MsgSimplePong* :: *MessageSimplePingPong* *StBusy* *StIdle*

*MsgSimpleDone* :: *MessageSimplePingPong* *StIdle* *StDone*

**data** *SimplePingPongClient* (*st*::*PingPong*) **a where**

*SendMsg* :: *MessageSimplePingPong* *StIdle* *st*

→ (*SimplePingPongClient* *st* *a*)

→ *SimplePingPongClient* *StIdle* *a*

*RecvMsg* :: (*MessageSimplePingPong* *StBusy* *StIdle*

→ (*SimplePingPongClient* *StIdle* *a*))

→ *SimplePingPongClient* *StBusy* *a*

*ClientDone* :: *a*

→ *SimplePingPongClient* *StDone* *a*

## Towards non-pipelined protocol description

```
simplePingPongClient::a→SimplePingPongClient Stdle a
simplePingPongClient a=
  SendMsg MsgSimplePing
$ RecvMsg$λMsgSimplePong→
  SendMsg MsgSimplePing
$ RecvMsg$λMsgSimplePong→
  SendMsg MsgSimplePing
$ RecvMsg$λMsgSimplePong→
  SendMsg MsgSimpleDone
$ ClientDone a
```

# Towards pipelined protocol description

**data**  $N=Z|S\ N$

**data** *SimplePipelinedPingPongClient* (*st*::*PingPong*) (*n*::*N*) *c a* **where**  
    *PipelinedSendMsg* :: *MessageSimplePingPong StIdle st*  
                    → *PingPongReceiver*                      *StBusy StIdle c*  
                    → *SimplePipelinedPingPongClient StIdle (S n)*   *c a*  
                    → *SimplePipelinedPingPongClient StIdle*    *n*   *c a*  
  
    *CollectResponse* :: (*c*→*SimplePipelinedPingPongClient StIdle*    *n c a*)  
                    → *SimplePipelinedPingPongClient*        *StIdle (S n) c a*  
  
    *SendMsgDone*    :: *MessageSimplePingPong*              *StIdle StDone*  
                    → *SimplePipelinedPingPongClient StDone Z c a*  
                    → *SimplePipelinedPingPongClient StIdle*    *Z c a*  
  
    *PipelinedDone*    :: *a*  
                    → *SimplePipelinedPingPongClient StDone Z c a*

**data** *PingPongReceiver* (*st* :: *PingPong*)  
                          (*st'* :: *PingPong*) *c* **where**  
    *RecvPipelinedMsg* :: (*MessageSimplePingPong StBusy StIdle*→*c*)  
                    → *PingPongReceiver StBusy StIdle c*



## Towards pipelined protocol description

*simplePipelinedPingPongClient*

:: a -- fixed result, for simplicity

→ c -- fixed collected value, for simplicity

→ *SimplePipelinedPingPongClient Stdle Z c a*

*simplePipelinedPingPongClient a c =*

*PipelinedSendMsg*

*MsgSimplePing*

(*RecvPipelinedMsg* \$λ*MsgSimplePong*→*c*)

(*PipelinedSendMsg*

*MsgSimplePing*

(*RecvPipelinedMsg* \$λ*MsgSimplePong*→*c*)

( *CollectResponse*

\$λ\_→*CollectResponse*

\$λ\_→*SendMsgDone MsgSimpleDone*

\$ *PipelinedDone a*

)

)

## Towards pipelined protocol description

Branching in *PipelinedSendMsg* requires that the interpretation of *SimplePipelinedPingPongClient* needs to concurrent execution:

```
PipelinedSendMsg :: MessageSimplePingPong StdIO st  
    → PingPongReceiver StBusy StdIO c  
    → SimplePipelinedPingPongClient StdIO (S n) c a  
    → SimplePipelinedPingPongClient StdIO n c a
```

# Typed Protocol Description

## Protocol Type Class

**data** *Agency* **where**

*ClientAgency* :: *Agency*

*ServerAgency* :: *Agency*

*NobodyAgency* :: *Agency*

Protocol type class provides messages and state type family.

**class** *Protocol* *ps* **where**

**data** *Message* *ps* (*st*::*ps*) (*st'*::*ps*)

**type** *StateAgency* (*st*::*ps*)::*Agency*

**instance** *Protocol* *PingPong* **where**

**data** *Message* *PingPong* *from to* **where**

*MsgPing* :: *Message* *PingPong* *StIdle* *StBusy*

*MsgPong* :: *Message* *PingPong* *StBusy* *StIdle*

*MsgDone* :: *Message* *PingPong* *StIdle* *StDone*

**type** *StateAgency* *StIdle* = *ClientAgency*

**type** *StateAgency* *StBusy* = *ServerAgency*

**type** *StateAgency* *StDone* = *NobodyAgency*

# Typed Protocol Description

## Relative Agency

**data** *PeerRole* = *AsClient* | *AsServer*

**data** *RelativeAgency* **where**

*WeHaveAgency* :: *RelativeAgency*

*TheyHaveAgency* :: *RelativeAgency*

*NobodyHasAgency* :: *RelativeAgency*

**type** *Relative* :: *PeerRole* → *Agency* → *RelativeAgency*

**type family** *Relative* *pr* **a** **where**

*Relative* *AsClient* *ClientAgency* = *WeHaveAgency*

*Relative* *AsClient* *ServerAgency* = *TheyHaveAgency*

*Relative* *AsClient* *NobodyAgency* = *NobodyHasAgency*

*Relative* *AsServer* *ClientAgency* = *TheyHaveAgency*

*Relative* *AsServer* *ServerAgency* = *WeHaveAgency*

*Relative* *AsServer* *NobodyAgency* = *NobodyHasAgency*

# Typed Protocol Description

## Relative Agency

Type equality for *RelativeAgency* which also carries information about agency.

**type** *ReflRelativeAgency*

$:: Agency \rightarrow RelativeAgency \rightarrow RelativeAgency \rightarrow Type$

**data** *ReflRelativeAgency* *a r r'* **where**

*ReflClientAgency*  $:: ReflRelativeAgency ClientAgency \ r \ r$

*ReflServerAgency*  $:: ReflRelativeAgency ServerAgency \ r \ r$

*ReflNobodyAgency*  $:: ReflRelativeAgency NobodyAgency \ r \ r$

An evidence that both relative agencies are equal to 'NobodyHasAgency'.

**type** *ReflNobodyHasAgency*

$:: RelativeAgency \rightarrow RelativeAgency \rightarrow Type$

**data** *ReflNobodyHasAgency* *ra ra'* **where**

*ReflNobodyHasAgency*  $:: ReflNobodyHasAgency$

*NobodyHasAgency*

*NobodyHasAgency*

# Typed Protocol Description

## Relative Agency

A type family which swaps the client and server roles.

**type** *FlipAgency* :: *PeerRole* → *PeerRole*

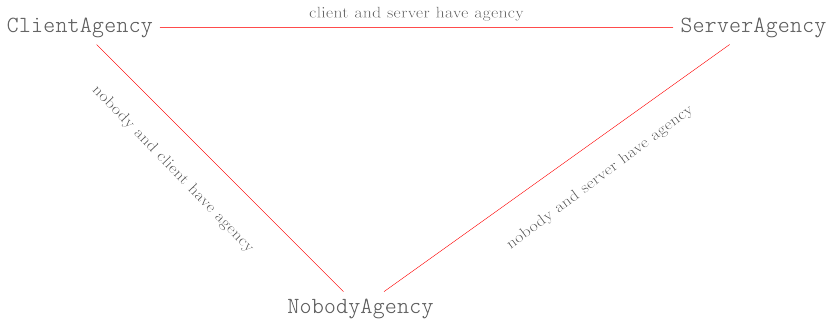
**type family** *FlipAgency* **pr where**

*FlipAgency* *AsClient* = *AsServer*

*FlipAgency* *AsServer* = *AsClient*

# Exclusion Lemmas

## Agency



# Exclusion Lemmas

## Relative Agency

A proof that if both *Relative pr a* and *Relative (FlipAgency pr) a* are equal then nobody has agency. In particular this lemma excludes the possibility that client and server has agency at the same state.

*exclusionLemma\_ClientAndServerHaveAgency*

$:: \forall pr :: PeerRole (a :: Agency) (ra :: RelativeAgency).$

*SingPeerRole pr*

$\rightarrow ReflRelativeAgency a ra (Relative (pr) a)$

$\rightarrow ReflRelativeAgency a ra (Relative (FlipAgency pr) a)$

$\rightarrow ReflNobodyHasAgency (Relative (pr) a)$   
 $(Relative (FlipAgency pr) a)$

*exclusionLemma\_ClientAndServerHaveAgency*

*SingAsClient ReflNobodyAgency ReflNobodyAgency*

$= ReflNobodyHasAgency$

*exclusionLemma\_ClientAndServerHaveAgency*

*SingAsServer ReflNobodyAgency ReflNobodyAgency*

$= ReflNobodyHasAgency$



# Exclusion Lemmas

## Relative Agency

A proof that if one side has terminated, then the other side terminated as well.

*terminationLemma\_1*

*:: SingPeerRole pr*

*→ ReflRelativeAgency a ra (Relative ( pr) a)*

*→ ReflRelativeAgency a NobodyHasAgency (Relative (FlipAgency pr) a)*

*→ ReflNobodyHasAgency (Relative ( pr) a)*

*(Relative (FlipAgency pr) a)*

*terminationLemma\_1*

*SingAsClient ReflNobodyAgency ReflNobodyAgency*

*= ReflNobodyHasAgency*

*terminationLemma\_1*

*SingAsServer ReflNobodyAgency ReflNobodyAgency*

*= ReflNobodyHasAgency*

# Exclusion Lemmas

## Relative Agency

*terminationLemma\_2*

*:: SingPeerRole pr*

*→ ReflRelativeAgency a ra (Relative (FlipAgency pr) a)*

*→ ReflRelativeAgency a NobodyHasAgency (Relative ( pr) a)*

*→ ReflNobodyHasAgency (Relative (FlipAgency pr) a)*

*(Relative ( pr) a)*

*terminationLemma\_2*

*SingAsClient ReflNobodyAgency ReflNobodyAgency*

*= ReflNobodyHasAgency*

*terminationLemma\_2*

*SingAsServer ReflNobodyAgency ReflNobodyAgency*

*= ReflNobodyHasAgency*

# Protocol Application API

singletons

**data** *Trans* *ps* **where**

*Tr* ::  $\forall ps. ps \rightarrow ps \rightarrow Trans\ ps$

**data** *Queue* *ps* **where**

*Empty* :: *Queue* *ps*

*Cons* :: *Trans* *ps*  $\rightarrow$  *Queue* *ps*  $\rightarrow$  *Queue* *ps*

**type** ( $\triangleleft$ ) :: *Trans* *ps*  $\rightarrow$  *Queue* *ps*  $\rightarrow$  *Queue* *ps*

**type** *a*  $\triangleleft$  *as* = *Cons* *a* *as*

**infixr** 5  $\triangleleft$

**type** ( $\triangleright$ ) :: *Queue* *ps*  $\rightarrow$  *Trans* *ps*  $\rightarrow$  *Queue* *ps*

**type** *family* *as*  $\triangleright$  *b* **where**

*Empty*  $\triangleright$  *b* = *Cons* *b* *Empty*

(*a*  $\triangleleft$  *as*)  $\triangleright$  *b* = *a*  $\triangleleft$  (*as*  $\triangleright$  *b*)

**infixr** 5  $\triangleright$

# Protocol Application API

deep embedding: non-pipelined primitives

**data** *Pipelined* = *NonPipelined* | *Pipelined*

**data** *Peer ps*

(*pr*::*PeerRole*)

(*pl*::*Pipelined*)

(*q*::*Queue ps*)

(*st*::*ps*) *m a* **where**

*Effect* :: *m (Peer ps pr pl q st m a)*

→ *Peer ps pr pl q st m a*

*Done* :: *Singl st*

⇒ (*ReflRelativeAgency (StateAgency st)*

*NobodyHasAgency*

(*Relative pr (StateAgency st)*))

→ *a*

→ *Peer ps pr pl Empty st m a*

# Protocol Application API

deep embedding: non-pipelined primitives

Send a message (non-pipelined) and continue in a new state. Requires a proof that the sender has agency (*WeHaveAgency*).

*Yield* :: *Singl st*  
⇒(*RefIRelativeAgency* (*StateAgency st*)  
*WeHaveAgency*  
(*Relative pr* (*StateAgency st*)))  
→*Message ps st st'*  
→*Peer ps pr pl Empty st' m a*  
→*Peer ps pr pl Empty st m a*

Receive a message (non-pipelined), and continue at a new state. Requires an evidence that the remote side has agency (*TheyHaveAgency*).

*Await* :: *Singl st*  
⇒(*RefIRelativeAgency* (*StateAgency st*)  
*TheyHaveAgency*  
(*Relative pr* (*StateAgency st*)))  
→(*∀st'.Message ps st st' →Peer ps pr pl Empty st' m a*)  
→*Peer ps pr pl Empty st m a*

# Protocol Application API

deep embedding: pipelined primitives

Pipeline a message, register the expected transition in the queue of suspended transitions, and continue possibly pipelining more messages.

*YieldPipelined*

*:: (Singl st, Singl st')*

*⇒ (ReflRelativeAgency (StateAgency st)*

*WeHaveAgency*

*(Relative pr (StateAgency st)))*

*→ Message ps st st'*

*→ Peer ps pr Pipelined (q ▷ Tr st' st'') st'' m a*

*→ Peer ps pr Pipelined (q) st m a*

# Protocol Application API

deep embedding: pipelined primitives

Receive a message as part of suspended transition. It requires an evidence that the remote side has agency for the state  $st'$ .

*Collect*

$:: \text{Singl } st'$

$\Rightarrow (\text{ReflRelativeAgency } (\text{StateAgency } st')$

$\text{TheyHaveAgency}$

$(\text{Relative } pr (\text{StateAgency } st'))))$

$\rightarrow \text{Maybe } (\text{Peer } ps \ pr \ \text{Pipelined } (\text{Tr } st' \ st'' \triangleleft q) \ st \ m \ a)$

$\rightarrow (\forall stNext. \text{Message } ps \ st' \ stNext$

$\rightarrow \text{Peer } ps \ pr \ \text{Pipelined } (\text{Tr } stNext \ st'' \triangleleft q) \ st \ m \ a)$

$\rightarrow \text{Peer } \quad ps \ pr \ \text{Pipelined } (\text{Tr } st' \quad st'' \triangleleft q) \ st \ m \ a$

Eliminate an identity transition from the front of the queue.

*CollectDone*

$:: \text{Peer } ps \ pr \ \text{Pipelined } (\quad q) \ st \ m \ a$

$\rightarrow \text{Peer } ps \ pr \ \text{Pipelined } (\text{Tr } st \ st \triangleleft q) \ st \ m \ a$

## Pipelined Ping Pong client

*pingPongClientPipelined*

*::Peer PingPong AsClient Pipelined Empty Stdle m ()*

*pingPongClientPipelined*

*=YieldPipelined ReflClientAgency MsgPing*

*\$ YieldPipelined ReflClientAgency MsgPing*

*\$ YieldPipelined ReflClientAgency MsgPing*

*\$ collect*

*\$ collect*

*\$ collect*

*\$ Yield ReflClientAgency MsgDone*

*\$ Done ReflNobodyAgency ()*

**where**

*collect :: Peer PingPong AsClient Pipelined q Stdle m ()*

*→Peer PingPong AsClient Pipelined*

*(Tr StBusy Stdle < q) Stdle m ()*

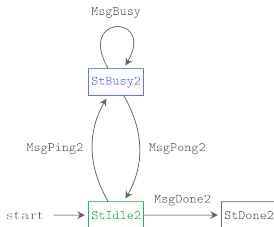
*collect k*

*=Collect ReflServerAgency Nothing*

*\$ λMsgPong →CollectDone k*

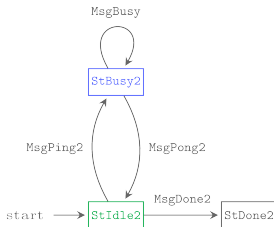


# Ping Pong v2



```
newtype PingPong2 = Wrap PingPong  
type StIdle2 = Wrap StIdle  
type StBusy2 = Wrap StBusy  
type StDone2 = Wrap StDone
```

# Ping Pong v2



**instance** *Protocol PingPong2* **where**

**data** *Message PingPong2* **from to where**

*MsgPingPong*

**::** *Message PingPong* ( *st* ) ( *st'* )

**→** *Message PingPong2* ( *Wrap st* ) ( *Wrap st'* )

*MsgBusy*

**::** *Message PingPong2* ( *Wrap StBusy* ) ( *Wrap StBusy* )

**type** *StateAgency* ( *Wrap StIdle* ) = *StateAgency StIdle*

**type** *StateAgency* ( *Wrap StBusy* ) = *StateAgency StBusy*

**type** *StateAgency* ( *Wrap StDone* ) = *StateAgency StDone*

## Pipelined Ping Pong v2 Client

*pingPongClientPipeliend2*

*::Peer PingPong2 AsClient Pipelined Empty Stdle2 m Int*

*pingPongClientPipeliend2*

*=YieldPipelined ReflClientAgency (MsgPingPong MsgPing)*

*\$ YieldPipelined ReflClientAgency (MsgPingPong MsgPing)*

*\$ YieldPipelined ReflClientAgency (MsgPingPong MsgPing)*

*\$ collect 0*

*\$ λ n1 → collect n1*

*\$ λ n2 → collect n2*

*\$ λ n3 → Yield ReflClientAgency (MsgPingPong MsgDone)*

*\$ Done ReflNobodyAgency n3*

**where**

*collect :: Int*

*→ (Int → Peer PingPong2 AsClient Pipelined q Stdle2 m Int)*

*→ Peer PingPong2 AsClient Pipelined*

*(Tr StBusy2 Stdle2 ◁ q) Stdle2 m Int*

*collect ! n k*

*=Collect ReflServerAgency Nothing*

*\$ λ msg → case msg of*

*MsgBusy → collect (n + 1) k*

*(MsgPingPong MsgPong) → CollectDone (k n)*

## Non-pipelined Duality

**data** *TerminalStates* *ps* (*pr*::*PeerRole*) **where**

*TerminalStates*

$::\forall ps\ pr\ (st::ps)\ (st'::ps).$

*Sing* *st*

$\rightarrow$  *ReflRelativeAgency* (*StateAgency* *st*)

*NobodyHasAgency*

(*Relative* ( *pr*) (*StateAgency* *st*))

$\rightarrow$  *Sing* *st'*

$\rightarrow$  *ReflRelativeAgency* (*StateAgency* *st'*)

*NobodyHasAgency*

(*Relative* (*FlipAgency* *pr*) (*StateAgency* *st'*))

$\rightarrow$  *TerminalStates* *ps* *pr*

*theorem\_nonpipelined\_duality*

$::\forall ps\ (pr::PeerRole)\ (initSt::ps)\ m\ a\ b.$

(*Monad* *m*, *Singl* *pr*)

$\Rightarrow$  *Peer* *ps* ( *pr*) *NonPipelined* *Empty* *initSt* *m* *a*

$\rightarrow$  *Peer* *ps* (*FlipAgency* *pr*) *NonPipelined* *Empty* *initSt* *m* *b*

$\rightarrow$  *m* (*a*, *b*, *TerminalStates* *ps* *pr*)

Link to the [proof](#). The proof relies on exclusion lemmas.

## Removing pipelining

*theorem\_unpipeline*

*::*  $\forall ps (pr :: PeerRole)$

*(pl :: Pipelined)*

*(initSt :: ps) m a.*

*Functor m*

$\Rightarrow [Bool]$

-- interleaving choices for pipelining allowed by

-- 'Collect' primitive. False values or '[]' give no

-- pipelining.

$\rightarrow Peer\ ps\ pr\ pl$  *Empty* *initSt* *m* *a*

$\rightarrow Peer\ ps\ pr\ NonPipelined$  *Empty* *initSt* *m* *a*

Link to the [proof](#).



## Remarks

- The duality theorem relies on 1-1 encoding of protocol messages; Non injective encodings can lead to deadlocks, or premature termination.
- Non injective encodings are useful! A protocol that handles simultaneous TCP open is an example.
- The presented *Peer* type was first discovered in *Agda*, and then re-implemented in Haskell. *Agda's* more expressive type system, and quite similar syntax to Haskell, makes it ideal for type level experiments which as in this case can lead to simpler API.

# Acknowledgement

- Alex Vieth, [Well-Typed](#)
- Duncan Coutts, [Well-Typed](#)

<https://coot.me/presentations/typed-protocol-pipelining.pdf>



<https://github.com/input-output-hk/ouroboros-network/tree/coot/typed-protocols-rewrite/typed-protocols>

